



425 S. Financial Place, Suite 1400 | Chicago, IL 60605-1000

2022 Proxy Voting Service RFP

Questions & Answers:

1. As a minimum requirement, a Service Provider should be a registered investment advisor. If the provider is not an RIA, will its submission be rejected?
The submission will be considered.
2. If a Service Provider can provide proxy guidance but not as a legal fiduciary, will its submission be considered or disqualified?
The submission will be considered.
3. With over 3000 domestic holdings, how many ballots did CTPF vote in 2021 and 2022?
CTPF did not count ballots only proposals. There were 27,771 proposals voted in 2021. The 2022 data is not yet available.
4. Will the CTPF consider proxy voting services provided as a proxy voting agent rather than a proxy advisor? Votes would be cast pursuant to the CTPF proxy statement by the voting agent rather than providing CTPF the ability to vote directly based on recommendations.
The submission will be considered.
5. Does CTPF require direct access to a web-based reporting system or will reports run by the proxy voting agent at CTPF's request suffice?
Reports run by the proxy voting agent will suffice.
6. Please confirm the expected commencement date as January 1, 2023. Given the December 29, 2022, deadline to submit proposals, the commencement date would need to be delayed to later in 2023.
The commencement date is approximate and is now estimated to be 04/01/2023.
7. Please provide any information or material available on the customized voting policy envisioned by CTPF, and if CTPF is currently using such a policy to vote proxies.
CTPF's proxy voting policy can be found at [ctpf_proxy_policy_final_march_2017_0.pdf](#).
8. Section IV.H references item "VIII (J) (a) (vi)". The RFP does not have a section VIII. Please confirm that the reference is to question IV.H.6.
Please disregard the section reference and complete all requested disclosures and the EEOC chart.



425 S. Financial Place, Suite 1400 | Chicago, IL 60605-1000

9. Question IV.H.2 references Section VIII (J) (a) (ii) and (iii). The RFP does not have a section VIII. Please confirm that the reference is to questions IV.H.2 and IV.H.3.
Please disregard the section reference and complete all requested disclosures and the EEOC chart.
10. Question IV.H.6 mentions the use of “the EEOC chart available on CTPF’s website”. Please confirm if this is the same chart as the one in Exhibit C of the RFP.
Yes, the EEOC charts are the same.
11. Questions IV.H.7 and IV.H.8: does the reference to “current contracts” apply to the respondent’s clients or vendors?
Vendors, if applicable.
12. Item VI.B references Monday December 29 as the proposal submission date. Please confirm the submission date as Thursday December 29.
The submission date is Thursday, December 29.
13. Can the fund provide an Excel spreadsheet with security names, CUSIPS, SEDOLS and other pertinent identifiers? An analysis of the holdings is required to determine pricing and the pertinent voting policy.
No additional holdings data will be provided.
14. As part of initial due diligence, is there an opportunity to provide demonstration of voting platform and data management capabilities?
Not at this time.
15. Exhibit C - please confirm that RFP respondents with no current relationship with CTPF do not need to complete the last three tabs of the EEOC chart: "CTPF team"; "CTPF Year of Year"; "Opportunity".
RFP respondents with no current relationship with CTPF do not need to complete the last three tabs of the EEOC chart.
16. Please provide a word copy of the RFP.
The RFP is now available in Microsoft Word format on ctpf.org.